

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki, et al. Art Unit : 1722  
Serial No. : 09/892,225 Examiner : Mathew J. Song  
Filed : June 25, 2001 Conf. No. : 1969  
Title : SEMICONDUCTOR DEVICE AND FABRICATION METHOD THEREFOR

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Copies of any listed U.S. patents can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. The \$180 late submission fee under §1.17(p) is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,



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|--|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)   |  | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>07977-279001 | Application No.<br>09/892,225 |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br>(37 CFR 51.96(b)) |  | Applicant<br>Shunpei Yamazaki, et al.                      |                                       |                               |
|  |  | Filing Date<br>June 25, 2001                               | Group Art Unit<br>1722                |                               |

**U.S. Patent Documents**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee         | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|------------------|-------|----------|----------------------------|
| /MS/             | AA        | 4,569,894       | 02/11/1986       | SAITO et al.     |       |          | 01/11/1984                 |
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**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes | Translation No |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-----------------|----------------|
|                  | AN        |                 |                  |                          |       |          |                 |                |
|                  | AO        |                 |                  |                          |       |          |                 |                |
|                  | AP        |                 |                  |                          |       |          |                 |                |
|                  | AQ        |                 |                  |                          |       |          |                 |                |
|                  | AR        |                 |                  |                          |       |          |                 |                |

**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|----------|
|                  | AS        |          |
|                  | AT        |          |
|                  | AU        |          |

Examiner Signature:

/Matthew Song/

Date Considered:

10/15/2007

EXAMINER: Initials of citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.